

HEX-Programs with Existential Quantification

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Motivation

HEX-Programs

- Extend ASP by **external sources**
- Traditional safety **not** sufficient due to **value invention**
- Notion of **liberal domain-expansion safety** guarantees **finite groundability**

Example

$$\Pi = \left\{ \begin{array}{ll} r_1 : t(a). & r_3 : s(Y) \leftarrow t(X), \& cat[X, a](Y). \\ r_2 : dom(aa). & r_4 : t(X) \leftarrow s(X), dom(X). \end{array} \right\}$$

Contribution

- Domain-specific existential quantification in rule heads
- Grounding algorithm extended by application-specific termination hooks
- Instances: model computation over acyclic programs, query answering over programs with logical existential quantifier, function symbols

HEX-Programs

HEX-programs extend ordinary ASP programs by [external sources](#)

Definition (HEX-programs)

A [HEX-program](#) consists of rules of form

$$a_1 \vee \cdots \vee a_n \leftarrow b_1, \dots, b_m, \text{not } b_{m+1}, \dots, \text{not } b_n,$$

with classical literals a_i , and classical literals or an external atoms b_j .

Definition (External Atoms)

An [external atom](#) is of the form

$$\&p[q_1, \dots, q_k](t_1, \dots, t_l),$$

p ... external predicate name

q_i ... predicate names or constants

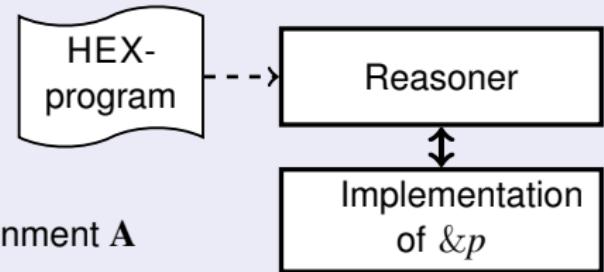
t_j ... terms

Semantics:

$1 + k + l$ -ary Boolean [oracle function](#) $f_{\&p}$:

$\&p[q_1, \dots, q_k](t_1, \dots, t_l)$ is true under assignment \mathbf{A}

iff $f_{\&p}(\mathbf{A}, q_1, \dots, q_k, t_1, \dots, t_l) = 1$.



Domain-specific Existential Quantification

Idea

- Introduce new values which may appear in answer sets
- Structure of these values matters
- Introduction may be subject to constraints outside the program

Realization: Use **value invention** in rule body, transfer new values to the head

Example

$$\text{iban}(B, I) \leftarrow \text{country}(B, C), \text{bank}(B, N), \&\text{iban}[C, N, B](I).$$

Example

$$\text{lifetime}(M, L) \leftarrow \text{machine}(M, C), \&\text{lifetime}[M, C](L).$$

Existential Quantification

We will now discuss 3 instances of our approach:

- Model-building over acyclic HEX³-Programs
- Query Answering over positive HEX³-Programs
- Function Symbols

Algorithm BGroundHEX

Input: A HEX-program Π

Output: A ground HEX-program Π_g

$$\Pi_p = \Pi \cup \{r_{inp}^{\&[\vec{Y}](\vec{X})} \mid \&[\vec{Y}](\vec{X}) \text{ in } r \in \Pi\}$$

Replace all external atoms $\&[\vec{Y}](\vec{X})$ in all rules r in Π_p by $e_{r,\&[\vec{Y}]}(\vec{X})$

while *Repeat()* **do**

$$PIT \leftarrow \emptyset$$

$$NewInputTuples \leftarrow \emptyset$$

repeat

$$\Pi_{pg} \leftarrow \text{GroundASP}(\Pi_p)$$

for $\&[\vec{Y}](\vec{X})$ in a rule $r \in \Pi$ **do**

$$\mathbf{A}_{ma} = \{\mathbf{Tp}(\vec{c}) \mid a(\vec{c}) \in A(\Pi_{pg}), p \in \vec{Y}_m\} \cup \{\mathbf{Fp}(\vec{c}) \mid a(\vec{c}) \in A(\Pi_{pg}), p \in \vec{Y}_a\}$$

for $\mathbf{A}_{nm} \subseteq \{\mathbf{Tp}(\vec{c}), \mathbf{Fp}(\vec{c}) \mid p(\vec{c}) \in A(\Pi_{pg}), p \in \vec{Y}_n\}$ s.t. $\nexists a : \mathbf{Ta}, \mathbf{Fa} \in \mathbf{A}_{nm}$ **do**
 $\mathbf{A} = (\mathbf{A}_{ma} \cup \mathbf{A}_{nm} \cup \{\mathbf{Ta} \mid a \leftarrow \in \Pi_{pg}\}) \setminus \{\mathbf{Fa} \mid a \leftarrow \in \Pi_{pg}\}$

for $\vec{y} \in \{\vec{c} \mid r_{inp}^{\&[\vec{Y}](\vec{X})}(\vec{c}) \in A(\Pi_{pg})\}$ s.t. *Evaluate*($r_{inp}^{\&[\vec{Y}](\vec{X})}(\vec{c}) = \text{true}$) **do**

$$\text{Let } O = \{\vec{x} \mid f_{\&}(\mathbf{A}, \vec{y}, \vec{x}) = 1\}$$

$$\Pi_p \leftarrow \Pi_p \cup \{e_{r,\&[\vec{Y}]}(\vec{x}) \vee ne_{r,\&[\vec{Y}]}(\vec{x}) \leftarrow \mid \vec{x} \in O\}$$

$$NewInputTuples \leftarrow NewInputTuples \cup \{r_{inp}^{\&[\vec{Y}](\vec{X})}(\vec{y})\}$$

$$PIT \leftarrow PIT \cup NewInputTuples$$

until Π_{pg} did not change

Remove input auxiliary rules and external atom guessing rules from Π_{pg}

Replace all $e_{\&[\vec{Y}]}(\vec{x})$ in Π_{pg} by $\&[\vec{y}](\vec{x})$

return Π_{pg}

Model-building over Acyclic HEX^{\exists} -Programs

Definition

A HEX^{\exists} -program is a finite set of rules of form

$$\forall \vec{X} \exists \vec{Y} : \mathbf{atom}[\vec{X}' \cup \vec{Y}] \leftarrow \mathbf{conj}[\vec{X}], \quad (1)$$

where \vec{X} and \vec{Y} are disjoint sets of variables, $\vec{X}' \subseteq \vec{X}$, $\mathbf{atom}[\vec{X}]$.

Definition

For HEX^{\exists} -program Π let $T_{\exists}(\Pi)$ be the HEX -program where each

$$r = \exists \vec{Y} : \mathbf{atom}[\vec{X}' \cup \vec{Y}] \leftarrow \mathbf{conj}[\vec{X}]$$

is replaced by

$$\mathbf{atom}[\vec{X}' \cup \vec{Y}] \leftarrow \mathbf{conj}[\vec{X}], \& exists^{|\vec{X}'|, |\vec{Y}|}[r, \vec{X}'](\vec{Y}),$$

where $f_{\& exists^{n,m}}(\mathbf{A}, r, \vec{x}, \vec{y}) = 1$ iff $\vec{y} = \phi_1, \dots, \phi_m$ is a vector of *fresh and unique null values* for r, \vec{x} and do not appear in Π , and $f_{\& exists^{n,m}}(\mathbf{A}, r, \vec{x}, \vec{y}) = 0$ otherwise.

Model-building over Acyclic HEX \exists -Programs

Example

Program Π :

$$\begin{array}{ll} \textit{employee(john).} & \textit{employee(joe).} \\ r_1 : \exists Y : \textit{office}(X, Y) \leftarrow \textit{employee}(X). \\ r_2 : & \textit{room}(Y) \leftarrow \textit{office}(X, Y) \end{array}$$

Program $T_{\exists}(\Pi)$:

$$\begin{array}{ll} \textit{employee(john).} & \textit{employee(joe).} \\ r'_1 : & \textit{office}(X, Y) \leftarrow \textit{employee}(X), \&\exists^{I,I}[r_1, X](Y). \\ r_2 : & \textit{room}(Y) \leftarrow \textit{office}(X, Y) \end{array}$$

The unique answer set of $T_{\exists}(\Pi)$ is

$$\{\textit{employee(john)}, \textit{employee(joe)}, \textit{office(john, } \phi_1\text{)}, \\ \textit{office(joe, } \phi_2\text{)}, \textit{room(}\phi_1\text{)}, \textit{room(}\phi_2\text{)}\}.$$

Model-building over Acyclic HEX \exists -Programs

For **de-safe programs** we do not need the hooks, thus let GroundDESafeHEX be the instantiation of BGroundHEX where

- Repeat repeats exactly once
- Evaluate return always *true*

Then:

Proposition

For de-safe programs Π , $\mathcal{AS}(\text{GroundDESafeHEX}(\Pi)) \equiv^{\text{pos}} \mathcal{AS}(\Pi_g)$.

Query Answering over Positive HEX^Ξ-Programs

Definition

A **Datalog^Ξ-program** is a finite set of rules of form $\forall \vec{X} \exists \vec{Y} : \text{atom}[\vec{X}' \cup \vec{Y}] \leftarrow \text{conj}[\vec{X}]$ where \vec{X} and \vec{Y} are disjoint sets of variables, $\vec{X}' \subseteq \vec{X}$.

Disallowed: default negation, general external atoms.

Definition

A **homomorphism** is a mapping $h : \mathcal{N} \cup \mathcal{V} \rightarrow \mathcal{C} \cup \mathcal{V}$.

A homomorphism h is called **substitution** if $h(N) = N$ for all $N \in \mathcal{N}$.

Definition

Model of a program: set of atoms M s.t. whenever there is a substitution h with $h(B(r)) \subseteq M$ for some $r \in \Pi$, then $h|_{\vec{X}}(H(r))$ is substitutive to some atom in M .

Definition

A **conjunctive query** q is of form $\exists \vec{Y} : \leftarrow \text{conj}[\vec{X} \cup \vec{Y}]$ with free variables \vec{X} .

Query Answering over Positive HEX^Ξ-Programs

Answer of a CQ q with free variables \vec{X} wrt. model M :

$$ans(q, M) = \{h|_{\vec{X}} \mid h \text{ is a substitution and } h|_{\vec{X}}(q) \text{ is substitutive to some } a \in M\}$$

Answer of a CQ q wrt. a program Π :

$$ans(q, \Pi) = \{h \mid h \in ans(q, M) \forall M \in mods(\Pi)\}$$

Query Answering over Positive HEX^Ξ-Programs

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Answer of a CQ q wrt. a program Π :

$$ans(q, \Pi) = \{h \mid h \in ans(q, M) \ \forall M \in mods(\Pi)\}$$

Definition

Model U of a program Π is universal if, for each $M \in mods(\Pi)$, there is a homomorphism h s.t. $h(U) \subseteq M$.

Proposition

Let U be a universal model of Datalog^Ξ-program Π . Then for each CQ q , $h \in ans(q, \Pi)$ iff $h \in ans(q, U)$ and $h : \mathcal{V} \rightarrow \mathcal{C} \setminus \mathcal{N}$.

Query Answering over Positive HEX^Ξ-Programs

Answer of a CQ q with free variables \vec{X} wrt. model M :

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⇒ Key issue: Computing (finite subsets of) a universal model

Query Answering over Positive HEX^Ξ-Programs

Example

Let Π be the following *Datalog*^Ξ-program:

$$\begin{array}{ll} \textit{person(john).} & \textit{person(joe).} \\ r_1 : \exists Y : \textit{father}(X, Y) \leftarrow \textit{person}(X). & \\ r_2 : & \textit{person}(Y) \leftarrow \textit{father}(X, Y). \end{array}$$

Then $T_{\exists}(\Pi)$ is the following program:

$$\begin{array}{ll} \textit{person(john).} & \textit{person(joe).} \\ r'_1 : \textit{father}(X, Y) \leftarrow \textit{person}(X), \&\exists^{l, I}[r_1, X](Y). & \\ r_2 : & \textit{person}(Y) \leftarrow \textit{father}(X, Y). \end{array}$$

Query Answering over Positive HEX^Ξ-Programs

Input: A HEX-program $\Pi = T_{\exists}(\Pi_{\exists})$ for some Datalog^Ξ-program Π_{\exists} , the count of freeze steps c_{freeze}

Output: A ground HEX-program Π_g s.t. $\mathbf{A} \in \mathcal{AS}(\Pi_g)$ is sound and complete for query answering

$$\Pi_p = \Pi \cup \{r_{imp}^{&[\vec{Y}](\vec{X})} \mid \&[\vec{Y}](\vec{X}) \text{ in } r \in \Pi\}$$

Replace all external atoms $\&[\vec{Y}](\vec{X})$ in all rules r in Π_p by $e_{r,\&[\vec{Y}]}(\vec{X})$

for $f = 0, \dots, c_{freeze}$ **do**

$$PIT \leftarrow \emptyset$$

$$NewInputTuples \leftarrow \emptyset$$

repeat

$$\Pi_{pg} \leftarrow \text{GroundASP}(\Pi_p)$$

for $\&[\vec{Y}](\vec{X})$ in a rule $r \in \Pi$ **do**

$$\mathbf{A}_{ma} = \{\mathbf{Tp}(\vec{c}) \mid a(\vec{c}) \in A(\Pi_{pg}), p \in \vec{Y}_m\} \cup \{\mathbf{Fp}(\vec{c}) \mid a(\vec{c}) \in A(\Pi_{pg}), p \in \vec{Y}_a\}$$

for $\mathbf{A}_{nm} \subseteq \{\mathbf{Tp}(\vec{c}), \mathbf{Fp}(\vec{c}) \mid p(\vec{c}) \in A(\Pi_{pg}), p \in \vec{Y}_n\}$ s.t. $\nexists a : \mathbf{Ta}, \mathbf{Fa} \in \mathbf{A}_{nm}$ **do**

$$\mathbf{A} = (\mathbf{A}_{ma} \cup \mathbf{A}_{nm} \cup \{\mathbf{Ta} \mid a \leftarrow \in \Pi_{pg}\}) \setminus \{\mathbf{Fa} \mid a \leftarrow \in \Pi_{pg}\}$$

for $\vec{y} \in \{\vec{c} \mid r_{imp}^{&[\vec{Y}](\vec{X})}(\vec{c}) \in A(\Pi_{pg}) \text{ which is not homomorphic to any } a \in PIT\}$ **do**

$$\text{Let } O = \{\vec{x} \mid f_{\&}(\mathbf{A}, \vec{y}, \vec{x}) = 1\}$$

$$\Pi_p \leftarrow \Pi_p \cup \{e_{r,\&[\vec{Y}]}(\vec{x}) \vee ne_{r,\&[\vec{Y}]}(\vec{x}) \leftarrow \mid \vec{x} \in O\}$$

$$NewInputTuples \leftarrow NewInputTuples \cup \{r_{imp}^{&[\vec{Y}](\vec{X})}(\vec{y})\}$$

$$PIT \leftarrow PIT \cup NewInputTuples$$

until Π_{pg} did not change

Remove input auxiliary rules and external atom guessing rules from Π_{pg}

Replace all $e_{\&[\vec{Y}]}(\vec{x})$ in Π_{pg} by $\&[\vec{y}](\vec{x})$

return Π_{pg}

Query Answering over Positive HEX $^{\exists}$ -Programs

Example (ctd.)

Let Π be the following $Datalog^{\exists}$ -program:

$$\begin{array}{ll} person(john). & person(joe). \\ r_1 : \exists Y : father(X, Y) \leftarrow person(X). \\ r_2 : & person(Y) \leftarrow father(X, Y). \end{array}$$

Then $T_{\exists}(\Pi)$ is the following program:

$$\begin{array}{ll} person(john). & person(joe). \\ r'_1 : & father(X, Y) \leftarrow person(X), \& exists^{I,I}[r_1, X](Y). \\ r_2 : & person(Y) \leftarrow father(X, Y). \end{array}$$

For $c_{freeze} = 1 \Rightarrow$ program with single answer set

$\{person(john), person(joe), father(john, \phi_1), father(joe, \phi_2), person(\phi_1), person(\phi_2)\}$

Query Answering over Positive HEX $^{\exists}$ -Programs

Example (ctd.)

Let Π be the following *Datalog* $^{\exists}$ -program:

$$\begin{array}{ll} \textit{person(john).} & \textit{person(joe).} \\ r_1 : \exists Y : \textit{father}(X, Y) \leftarrow \textit{person}(X). & \\ r_2 : & \textit{person}(Y) \leftarrow \textit{father}(X, Y). \end{array}$$

Then $T_{\exists}(\Pi)$ is the following program:

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For $c_{freeze} = 1 \Rightarrow$ program with single answer set

$\{\textit{person(john)}, \textit{person(joe)}, \textit{father(john, \phi_1)}, \textit{father(joe, \phi_2)}, \textit{person(\phi_1)}, \textit{person(\phi_2)}\}$

Proposition

For a shy program Π , $\text{GroundDatalog}^{\exists}(\Pi, k)$ has a unique answer set which is sound and complete for answering CQs with up to k existential variables.

Function Symbols

Definition (Terms)

The set of terms \mathcal{T} is defined as the least set s.t. $\mathcal{T} \supseteq \mathcal{V} \cup \mathcal{C}$ and $f \in \mathcal{C}, t_1, \dots, t_n \in \mathcal{T}$ implies $f(t_1, \dots, t_n) \in \mathcal{T}$.

For each $k \in \mathbb{N}$ two external predicates $\&compose_k$ and $\&decompose_k$ with $ar_l(\&compose_k) = 1 + k$ and $ar_o(\&compose_k) = 1$ and $ar_l(\&decompose_k) = 1$ and $ar_o(\&decompose_k) = 1 + k$.

Following [Calimeri et al., 2007],

$$f_{\&compose_k}(\mathbf{A}, f, X_1, \dots, X_k, T) = f_{\&decompose_k}(\mathbf{A}, T, f, X_1, \dots, X_k) = 1,$$

iff $T = f(X_1, \dots, X_k)$.

Note: $\&decompose_k$ supports a well-ordering

Function Symbols

Definition

Let Π be a HEX-program with function symbols. Then $T_f(\Pi)$ is the program where each $f(t_1, \dots, t_n)$ in a rule r is recursively replaced by a new variable V .

If $f(t_1, \dots, t_n)$ appears in $H(r)$ or in the input list of some external atom in $B(r)$, then $\&compose_n[f, t_1, \dots, t_n](V)$ is added to $B(r)$, and otherwise $\&decompose_n[V](f, t_1, \dots, t_n)$ is added to $B(r)$.

Example

Program Π :

$$\begin{aligned} q(z). & q(y). \\ p(f(f(X))) & \leftarrow q(X). \\ r(X) & \leftarrow p(X). \\ r(X) & \leftarrow r(f(X)). \end{aligned}$$

Then $T_f(\Pi)$ is:

$$\begin{aligned} q(z). & q(y). \\ p(V) & \leftarrow q(X), \&compose_1[f, X](U), \&compose_1[f, U](V). \\ r(X) & \leftarrow p(X). \\ r(X) & \leftarrow r(V), \&decompose_1[V](f, X). \end{aligned}$$

Conclusion

ASP Programs with External Sources

- Ordinary safety **not sufficient** due to **value invention**
- Notion of **liberal domain-expansion safety** guarantees **finite groundability**

Contribution

- Domain-specific existential quantifier in heads realized by external sources
Advantage: Easy extensibility, e.g., data types, side constraints
- Grounding algorithm extended by application-specific termination hooks
- Instances: model building over acyclic programs, query answering with logical existential quantifier, function symbols

Future Work

- Combination of query answering with function symbols, default negation
- Model-building over programs with infinite but finitely representable models

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